

Form 1449*	Atty. Docket No.: 875.007US2	Serial No. <del>Unknown</del> 10/054665
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: John F. Engelhardt et al.	
	Filing Date: Herewith 1/22/02	Group: <del>Unknown</del> 1636

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## U.S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
<i>RL</i>	5,691,176	11/25/1997	Lebkowski, J.S., et al.	<del>435</del>	<del>172.3</del>	06/02/95
<i>RL</i>	6,083,702	07/04/2000	Mitchell, L.G., et al.	<del>435</del>	<del>6</del>	08/13/98
<i>RL</i>	6,200,560	03/13/2001	Couto, L.B., et al.	<del>424</del>	<del>93.2</del>	12/22/99

## FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
<i>RL</i>	94/13788	12/04/1992	PCT	<del>C12N</del>	<del>7/01</del>	
<i>RL</i>	95/07351	09/10/1993	PCT	<del>C12N</del>	<del>15/10</del>	
<i>RL</i>	97/22250	12/13/1996	PCT	<del>A01N</del>	<del>43/04</del>	
<i>RL</i>	98/09657	09/06/1996	PCT	<del>A61K</del>	<del>48/00</del>	

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<i>RL</i>	Afione, S.A., et al., "In Vivo Model of Adeno-Associated Virus Vector Persistence and Rescue", <u>Journal of Virology</u> , 70 (5), pp. 3235-3241, (May 1996)
<i>RL</i>	Ali, R.R., et al., "Gene transfer into the mouse retina mediated by an adeno-associated viral vector", <u>Human Molecular Genetics</u> , 5 (5), pp. 591-594, (1996)
<i>RL</i>	Bennett, J., et al., "Real-Time, Noninvasive In Vivo Assessment of Adeno-Associated Virus-Mediated Retinal Transduction", <u>Investigative Ophthalmology &amp; Visual Science</u> , 38 (13), pp. 2857-2863, (Dec. 1997)
<i>RL</i>	Berns, K.I., "Parvovirus Replication", <u>Microbiological Reviews</u> , 54 (3), pp. 316-329, (Sept. 1990)
<i>RL</i>	Berns, K.I., et al., "Biology of Adeno-associated Virus", <u>In: Current Topics in Microbiology and Immunology</u> , 218, Springer-Verlag, Berlin: R.W. Compans, et al., (Eds.), pp. 1-23, (1996)
<i>RL</i>	Clark, K.R., et al., "Recombinant Adeno-Associated Viral Vectors Mediate Long-Term Transgene Expression in Muscle", <u>Human Gene Therapy</u> , 8, pp. 659-669, (April 10, 1997)
<i>RL</i>	Conrad, C.K., et al., "Safety of single-dose administration of an adeno-associated virus (AAV)-CFTR vector in the primate lung", <u>Gene Therapy</u> , 3, pp. 658-668, (1996)

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07	Duan, D., et al., "Structural Analysis of adeno-associated virus transduction circular intermediates", <u>Virology</u> , 261 (1), pp. 8-14, (Aug. 1999)
07	Duan, D., et al., "Structural and functional heterogeneity of integrated recombinant AAV genomes", <u>Virus Research</u> , 48 (1), pp. 41-56, (Jan. 1997)
02	Fisher, K., et al., "Recombinant adeno-associated virus for muscle directed gene therapy", <u>Nature Medicine</u> , 3 (3), pp. 306-312, (March 1997)
07	Fisher-Adams, G., et al., "Integration of Adeno-Associated Virus Vectors in CD34+ Human Hematopoietic Progenitor Cells After Transduction", <u>Blood</u> , 88 (2), pp. 492-504, (July 15, 1996)
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97	Kaplitt, M.G., et al., "Long-term gene expression and phenotypic correction using adeno-associated virus vectors in the mammalian brain", <u>Nature Genetics</u> , 8, pp. 148-154, (Oct. 1994)
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97	Ponnazhagan, S., et al., "Lack of Site-Specific Integration of the Recombinant Adeno-Associated Virus 2 Genomes in Human Cells", <u>Human Gene Therapy</u> , 8, pp. 275-284, (Feb. 10, 1997)
72	Puttaraju, M., et al., "Spliceosome-mediated RNA trans-splicing as a tool for gene therapy", <u>Nature Biotechnology</u> , 17 (3), pp. 246-252, (March 1999)

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87	Qing, K., et al., "Role of tyrosine phosphorylation of a cellular protein in adeno-associated virus 2-mediated transgene expression", <u>PNAS</u> , 94, pp. 10879-10884, (Sept. 1997)
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81	Snyder, R.O., et al., "Persistent and therapeutic concentrations of human factor IX in mice after hepatic gene transfer of recombinant AAV vectors", <u>Nature Genetics</u> , 16, pp. 270-276, (July 1997)
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